

## Tutorial Week 05

**Topics:** connected, bounded, compact sets.

1. Let  $A$  and  $C$  be connected subsets of a metric space  $(X, d)$ . Prove that if  $A \cap C \neq \emptyset$ , then  $A \cup C$  is connected.

*Solution.* Suppose  $A \cup C$  is disconnected, so that  $A \cup C = U \cup V$  with  $U, V$  nonempty, disjoint, and open in  $A \cup C$ .

Then  $A = (A \cap U) \cup (A \cap V)$ , with  $A \cap U, A \cap V$  disjoint and open in  $A$ . As  $A$  is connected,  $A \cap U$  or  $A \cap V$  must be empty. Without loss of generality, say  $A \cap U = \emptyset$ , so that  $A \subseteq V$ .

We can apply the same argument to  $C$  and get that  $C \cap U$  or  $C \cap V$  is empty. Since  $A \cap C \neq \emptyset$ , it must be that  $C \cap U = \emptyset$  and  $C \subseteq V$ .

But then  $U \cup V = A \cup C \subseteq V$ , implying that  $U \subseteq V$ , contradicting the fact that  $U \cap V = \emptyset$  and  $U \neq \emptyset$ . □

2. Let  $(X, d)$  be a metric space. Suppose  $A \subseteq X$  is a connected subset and  $\{C_i : i \in I\}$  is an arbitrary collection of connected subsets of  $X$  such that  $A \cap C_i \neq \emptyset$  for all  $i \in I$ . Then

$$A \cup \bigcup_{i \in I} C_i$$

is a connected subset of  $X$ .

[*Hint:* Use the argument from Q1.]

*Solution.* Suppose

$$A \cup \bigcup_{i \in I} C_i = U \cup V,$$

with  $U, V$  nonempty disjoint open sets. Then  $A = (A \cap U) \cup (A \cap V)$ , but  $A$  is connected so one of these intersections must be empty, say  $A \cap U = \emptyset$ .

But  $U \subseteq A \cup \bigcup_{i \in I} C_i$ , so there must be some  $i \in I$  such that  $U \cap C_i \neq \emptyset$  (otherwise  $U = \emptyset$ , contradiction). Since  $C_i = (C_i \cap U) \cup (C_i \cap V)$  and  $C_i$  is connected, we must have  $C_i \cap V = \emptyset$ , therefore  $C_i \subseteq U$ .

But this forces  $A \cap C_i \subseteq A \cap U = \emptyset$ , contradicting  $A \cap C_i \neq \emptyset$  for all  $i \in I$ . □

3. Let  $(X, d)$  be a metric space. Suppose  $\{C_n : n \in \mathbb{N}\}$  is a countable collection of connected subsets of  $X$  such that  $C_n \cap C_{n+1} \neq \emptyset$  for all  $n \in \mathbb{N}$ . Then

$$\bigcup_{n \in \mathbb{N}} C_n$$

is a connected subset of  $X$ .

[*Hint:* Build the union inductively, and use Q1 and Q2.]

*Solution.* For any  $N \in \mathbb{N}$ , let

$$A_N = \bigcup_{n=1}^N C_n.$$

We use induction to prove that  $A_N$  is connected for all  $N \in \mathbb{N}$ .

The base case  $N = 1$  is clear as  $A_1 = C_1$ .

For the induction step, fix  $N \in \mathbb{N}$  and suppose  $A_N$  is connected. Then  $A_{N+1} = A_N \cup C_{N+1}$  is connected by Q1.

So  $\{A_N : N \in \mathbb{N}\}$  is a collection of connected sets, and  $A_1$  is a connected set such that  $A_1 \cap A_N \neq \emptyset$  for all  $N \in \mathbb{N}$ . By Q2,

$$A_1 \cup \bigcup_{N \in \mathbb{N}} A_N = \bigcup_{N \in \mathbb{N}} A_N = \bigcup_{n \in \mathbb{N}} C_n$$

is connected. □

4. Let  $(X, d)$  be a metric space and define  $x \sim x'$  if there exists a connected subset  $C \subset X$  such that  $x, x' \in C$ .

Prove that this is an equivalence relation on the set  $X$  thereby partitioning  $X$  into a disjoint union of maximal connected subsets (these are called the *connected components* of  $X$ ).

[*Hint:* Recall that an equivalence relation has three defining axioms: (a)  $x \sim x$  for all  $x \in X$ ; (b) if  $x \sim x'$  then  $x' \sim x$ ; (c) if  $x \sim x'$  and  $x' \sim x''$  then  $x \sim x''$ .]

*Solution.*

- (a)  $x \sim x$ : for any  $x \in X$ , the set  $C = \{x\}$  is connected and contains  $x$ , so  $x \sim x$ .
- (b) if  $x \sim x'$  then  $x' \sim x$ : clear from the definition, which does not distinguish  $x$  and  $x'$ .
- (c) if  $x \sim x'$  and  $x' \sim x''$  then  $x \sim x''$ : since  $x \sim x'$  there exists a connected set  $C_1$  such that  $x, x' \in C_1$ ; since  $x' \sim x''$  there exists a connected set  $C_2$  such that  $x', x'' \in C_2$ ; by Q1, since  $C_1$  and  $C_2$  are connected and  $x' \in C_1 \cap C_2$ , the union  $C_1 \cup C_2$  is connected, and it contains both  $x$  and  $x''$ , so that  $x \sim x''$ .

□

5. Give explicit continuous surjective functions  $f: \mathbb{R} \rightarrow I$ , where  $I$  is:

- (a)  $\mathbb{R}$       (b)  $(0, \infty)$       (c)  $(-\infty, 0)$       (d)  $(-\infty, 0]$       (e)  $[-1, 1]$
- (f)  $(0, 1]$       (g)  $[0, 1)$       (h)  $(-\pi/2, \pi/2)$       (i)  $\{0\}$ .

[*Hint:* Draw some functions you know from calculus and see what their ranges are.]

*Solution.* These are of course not the only possible answers (well, except for the last one).

- (a)  $x \mapsto x$ ;
- (b)  $x \mapsto e^x$ ;
- (c)  $x \mapsto -e^x$ ;
- (d)  $x \mapsto -x^2$ ;
- (e)  $x \mapsto \sin(x)$ ;
- (f)  $x \mapsto \min\{e^x, 1\}$ ;
- (g)  $x \mapsto \max\{-e^x, -1\} + 1$ ;
- (h)  $x \mapsto \arctan(x)$ ;
- (i)  $x \mapsto 0$ .

□

6. Let  $(X, d)$  be a metric space.

If  $A$  and  $B$  are bounded sets with  $A \cap B \neq \emptyset$ , then

$$\text{diam}(A \cup B) \leq \text{diam}(A) + \text{diam}(B).$$

*Solution.* It suffices to show that for any  $x, y \in A \cup B$  we have

$$d(x, y) \leq \text{diam}(A) + \text{diam}(B).$$

If  $x, y \in A$ , this is obvious as  $d(x, y) \leq \text{diam}(A)$ . Similarly if  $x, y \in B$ .

It remains to see what happens if  $x \in A$  and  $y \in B$ . Let  $t \in A \cap B$ . We have

$$d(x, y) \leq d(x, t) + d(t, y) \leq \text{diam}(A) + \text{diam}(B),$$

as desired. □

7. Let  $C$  be a closed subset of a compact subset  $K$  of a metric space  $(X, d)$ . Prove that  $C$  is compact.

[*Hint:*  $K \subseteq X = C \cup (X \setminus C)$ .]

*Solution.* Consider an arbitrary open cover of  $C$ :

$$C \subseteq \bigcup_{i \in I} U_i.$$

Then we have

$$K \subseteq X = C \cup (X \setminus C) \subseteq \left( \bigcup_{i \in I} U_i \right) \cup (X \setminus C),$$

which is an open cover of  $K$ . As  $K$  is compact, there is a finite subcover, so that

$$K \subseteq \left( \bigcup_{n=1}^N U_{i_n} \right) \cup (X \setminus C), \quad i_n \in I,$$

hence

$$C \subseteq \bigcup_{n=1}^N U_{i_n}.$$

□

8. Let  $K$  and  $L$  be compact subsets of a metric space  $(X, d)$ . Prove that  $K \cup L$  is compact.

*Solution.* Consider an arbitrary open cover of  $K \cup L$ :

$$K \cup L \subseteq \bigcup_{i \in I} U_i.$$

This is also an open cover of  $K$ , so there is a finite subcover that still covers  $K$ :

$$K \subseteq \bigcup_{n=1}^N U_{i_n}, \quad i_n \in I.$$

Similarly, we get a finite subcover that covers  $L$ :

$$L \subseteq \bigcup_{m=1}^M U_{j_m}, \quad j_m \in I.$$

Letting  $S = \{i_1, \dots, i_N\} \cup \{j_1, \dots, j_M\}$ , we get a finite subcover that covers  $K \cup L$ :

$$K \cup L \subseteq \bigcup_{s \in S} U_s.$$

□